

Charting the Course:

AML/CFT for Greater Resilience



Agenda

4 - 6 December, 2019

Four Seasons Resort & Residences
Anguilla, B.W.I.

Day 1 - Wednesday, 4 December 2019

Overview - Global Developments in Financial Services

- Keynote Address
- FATF 4.2 - The Fourth Round of Mutual Evaluations
- Tomorrow's Financial Services Centres.

Risk Assessments and CDD - What you thought you knew

- AXA NRA - Snapshots and Takeaways from Anguilla's National Risk Assessment
- Meaningful Risk Assessments - How to draw a line in the sand when the sand keeps shifting!
- So you think you know your client? Why monitoring is essential!

Compliance Mechanics - How to keep the Compliance Engine at Peak Performance

- Achieving Compliance: A Modular Approach
- The Training Conundrum - How to Incentivise Training and other challenges Compliance Officers grapple with

Sanctions, Embargoes and other Directives

- Scrubbing Clients against THE LISTS: Sanctions, Directives and Embargoes

Disarming the TF Threat - How to ensure systems and controls are sufficiently robust to detect and prevent TF

- Proactive reviews - Disarming the TF Threat - How to ensure systems and controls are sufficiently robust to detect and prevent Terrorist Financing

Day 2 - Thursday, 5 December 2019

The Importance of a strong AML/CFT Compliance System

- Supervisory Challenges - Dissuasive Enforcement to promote AML/CFT Compliance
- Proactive and Productive Filings - How to file effective STRs and SARs
- The Intelligence in the FIU - the MLRO's role in enhancing a national AML/CFT System
- What's the risk? What can go wrong with the wrong risk rating?
- KYE - The Internal Compliance Threat!

The value of Value-Added: Opportunities and Substance

- Corporate Governance, the Corporate Secretary and the future of Governance - Anguilla's substance and compliance solutions!
- Digital Consequences - Why the fragmentation of traditional business models present an opportunity for value-added work?
- Economic Substance - The Innovative Service Provider's Playground.

International Cooperation - The Cornerstone to Effectiveness

- Introducers, Intermediaries and the Industry - The impact of FATF Recommendation 17 on International Cooperation
- Proactive Supervision - Re-entering the Matrix

NETWORKING EVENT

anguilla
tourist board

Day 3 - Friday, 6 December 2019

Governance - The Compliance Backstop

- Who owns it? Why Corporate Governance is critical to compliance.
- Senior Management Reporting - Are they doing enough?
- Case Study - Regulatory Reviews
- Case Study - The Future of Supervisory Engagements